

# CERTIFICATE DISCLOSURE OF OWNERSHIP/PRINCIPALS

## 1. Definitions

"Agency" means the City of Las Vegas Redevelopment Agency.

"Redevelopment Agency Board" means the governing body of the City of Las Vegas Redevelopment Agency.

"Contracting Entity" means the individual, partnership, or corporation seeking to enter into a contract or agreement with the City of Las Vegas Redevelopment Agency.

"Principal" means, for each type of business organization, the following: (a) sole proprietorship – the owner of the business; (b) corporation – the directors and officers of the corporation; but not any branch managers of offices which are a part of the corporation; (c) partnership – the general partner and limited partners; (d) limited liability company – the managing member as well as all the other members.

## 2. Policy

In accordance with Resolution 79-99 and 105-99 adopted by the Redevelopment Agency Board, Contracting Entities seeking to enter into certain contracts or agreements with the City of Las Vegas Redevelopment Agency must disclose information regarding ownership interests and principals. Such disclosure generally is required in conjunction with a Request for Proposals (RFP). In other cases, such disclosure must be made prior to the execution of a contract or agreement.

## 3. Instructions

The disclosure required by the Resolutions referenced above shall be made through the completion and execution of this Certificate. The Contracting Entity shall complete Block 1, Block 2, and Block 3. The Contracting Entity shall complete either Block 4 or its alternate in Block 5. Specific information, which must be provided, is highlighted. An Officer or other official authorized to contractually bind the Contracting Entity shall sign and date the Certificate, and such signing shall be notarized.

## 4. Incorporation

This Certificate shall be incorporated into the resulting contract or agreement, if any, between the Agency and the Contracting Entity. Upon execution of such contract or agreement, the Contracting Entity is under a continuing obligation to notify the Agency in writing of any material changes to the information in this Certificate. This notification shall be made within fifteen (15) days of the change. Failure to notify the Agency of any material change may result, at the option of the Agency, in a default termination (in whole or in part) of the contract or agreement, and/or a withholding of payments due the Contracting Entity.

Block 1	<u>Contracting Entity</u>
Name	Harris & Associates, Inc.
Address	120 Mason Circle Concord, CA 94520
Telephone	925-827-4900
EIN or DUNS	EIN 94-2385238

Block 2	<u>Description</u>
Subject Matter of Contract/Agreement:	<i>5TH STREET SCHOOL RENOVATION</i>
RFP #:	

Block 3	<u>Type of Business</u>		
<input type="checkbox"/> Individual	<input type="checkbox"/> Partnership	<input type="checkbox"/> Limited Liability Company	<input checked="" type="checkbox"/> Corporation

# **CERTIFICATE – DISCLOSURE OF OWNERSHIP/PRINCIPALS (CONTINUED)**

<b>Block 4</b>	<b>Disclosure of Ownership and Principals</b>		
In the space below, the Contracting Entity must disclose all principals (including partners) of the Contracting Entity, as well as persons or entities holding more than one-percent (1%) ownership interest in the Contracting Entity.			
	<b>FULL NAME/TITLE</b>	<b>BUSINESS ADDRESS</b>	<b>BUSINESS PHONE</b>
1.	See attached.		
2.			
3.			
4.			
5.			
6.			
7.			
8.			
9.			
10.			

The Contracting Entity shall continue the above list on a sheet of paper entitled "Disclosure of Principals – Continuation" until full and complete disclosure is made. If continuation sheets are attached, please indicate the number of sheets: 1

<b>Block 5</b>	<b>Disclosure of Ownership and Principals - Alternate</b>		
If the Contracting Entity, or its principals or partners, are required to provide disclosure (of persons or entities holding an ownership interest) under federal law (such as disclosure required by the Securities and Exchange Commission or the Employee Retirement Income Act), a copy of such disclosure may be attached to this Certificate in lieu of providing the information set forth in Block 4 above. A description of such disclosure documents must be included below.			
Name of Attached Document: <u>N/A</u>			
Date of Attached Document: _____		Number of Pages: _____	

I certify, under penalty of perjury, that all the information provided in this Certificate is current, complete, and accurate. I further certify that I am an individual authorized to contractually bind the above named Contracting Entity.

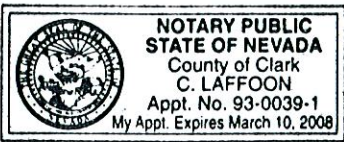
Subscribed and sworn to before me this 19th day of December, 2008.

C. Laffoon  
Notary Public

*C. Laffoon*  
Name

12/19/07  
Date

REGIONAL MANAGER



# HARRIS & ASSOCIATES

As of 12/07



Name	Title(s)	Office Address	Office Phone
Harris, L. Carl	Chairman of the Board; Founder	120 Mason Circle, Concord, CA 94520	925-827-4900
Erickson, Guy A.	President	120 Mason Circle, Concord, CA 94520	925-827-4900
Cooper, Jeffrey M.	Southern Regional Manager	120 Mason Circle, Concord, CA 94520	925-827-4900
McCosker, Neil M.	Chief Operating Officer	120 Mason Circle, Concord, CA 94520	925-827-4900
Parmley, James L.	Corporate Director of Business Development	120 Mason Circle, Concord, CA 94520	925-827-4900
Phillips, Vernon A.	Northwest Regional Manager; Corporate Director of Civil Design; Secretary	120 Mason Circle, Concord, CA 94520	925-827-4900
Ross, Marian S.	Chief Financial Officer	120 Mason Circle, Concord, CA 94520	925-827-4900
Shockley, Marie A.	Director of Human Resources	120 Mason Circle, Concord, CA 94520	925-827-4900
Cooper, Michael K.	Regional Manager - Gilroy, CA	120 Mason Circle, Concord, CA 94520	925-827-4900
Danley, Brian A.	Business Development - Northern Region	120 Mason Circle, Concord, CA 94520	925-827-4900
DeLiso, Robert	Sr. Program Manager	120 Mason Circle, Concord, CA 94520	925-827-4900
Duncan, Randall S.	Regional Manager - Los Angeles, CA; Corporate Director of Program Management	120 Mason Circle, Concord, CA 94520	925-827-4900
Edwards, Edgar E.	Regional Manager - Irvine, CA	120 Mason Circle, Concord, CA 94520	925-827-4900
Ehninger, Stephen M.	Regional Manager - Salt Lake City, UT	120 Mason Circle, Concord, CA 94520	925-827-4900
Fehrer, Jules P.	Sr Project Manager; Construction Management - Northern Region	120 Mason Circle, Concord, CA 94520	925-827-4900
Guerrero, James R.	Sr. Construction Manager	120 Mason Circle, Concord, CA 94520	925-827-4900
Guletz, Robert S.	Manager of Municipal Services - Northern Region	120 Mason Circle, Concord, CA 94520	925-827-4900
Kozlowski, Edward A.	Manager of Construction Management - Northern Region	120 Mason Circle, Concord, CA 94520	925-827-4900
LeSher, Dana O.	Regional Manager - Sacramento, CA	120 Mason Circle, Concord, CA 94520	925-827-4900
Maciel, Mario	Northern CA Regional Manager	120 Mason Circle, Concord, CA 94520	925-827-4900
Moore, Russell A.	Design Manager - Northern Region	120 Mason Circle, Concord, CA 94520	925-827-4900
Ow, Gregory G.	Manager of Business Development - Northern Region	120 Mason Circle, Concord, CA 94520	925-827-4900
Polidoro, Raymond K.	Regional Manager - Ventura, CA	120 Mason Circle, Concord, CA 94520	925-827-4900
Roberts, Steven E.	Sr Program Manager Municipal Services	120 Mason Circle, Concord, CA 94520	925-827-4900
SeEVERS, David T.	Manager of Construction Management - Southern Region (Regional Manager @ 7/1/08)	120 Mason Circle, Concord, CA 94520	925-827-4900
Sloat, Kim A.	Regional Manager - Nevada	120 Mason Circle, Concord, CA 94520	925-827-4900
Tholen, Eric R.	Regional Manager - Salt Lake City, UT	120 Mason Circle, Concord, CA 94520	925-827-4900
Timmer, Larry G.	Sr. Construction Manager	120 Mason Circle, Concord, CA 94520	925-827-4900
Williams, Sr., Roland P.	Labor Compliance Officer	120 Mason Circle, Concord, CA 94520	925-827-4900